

Form must be completed in English. Forms completed in any other language will not be accepted.

Substitute W-8BEN

Department of the Treasury Internal Revenue Service

Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding

For use by individuals. Entities must use Form W-8BEN-E. Give this form to the withholding agent or payer. Do not send to the IRS.

TD Ameritrade Hong Kong Ltd. Suite 1211-13, Two Pacific Place 88 Queensway Hong Kong

For complete instructions or more information on the W-8 forms, please refer to www.irs.gov/formw8ben. If you have any other questions regarding your application or account, please contact TD Ameritrade Hong Kong Ltd.

Part I Identification of Beneficial Owner (See instructions.)

Form fields for Part I: 1 Name of individual who is the beneficial owner, 2 Country of citizenship, 3 Permanent residence address, 4 Mailing address, 5 U.S. taxpayer identification number, 6 Foreign tax identifying number, 7 TD Ameritrade Hong Kong Ltd. Account Number, 8 Date of birth.

Part II Claim of Tax Treaty Benefits (for chapter 3 purposes only) (see instructions)

Form fields for Part II: 9 I certify that the beneficial owner is a resident of... within the meaning of the income tax treaty... 10 Special rates and conditions (if applicable - see instructions): The beneficial owner is claiming the provisions of Article and paragraph... of the treaty identified on line 9 above to claim a...% rate of withholding on (specify type of income): Explain the additional conditions in the Article and paragraph the beneficial owner meets to be eligible for the rate of withholding:

Part III Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income to which this form relates or am using this form to document myself for chapter 4 purposes,
The person named on line 1 of this form is not a U.S. person,
The income to which this form relates is (a) not effectively connected with the conduct of a trade or business in the United States, (b) effectively connected but is not subject to tax under an applicable income tax treaty, or (c) the partner's share of a partnership's effectively connected income,
The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country, and
For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner. I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.

The Internal Revenue Service does not require your consent to any provisions of this document other than the certifications required to establish your status as a non-U.S. person and, if applicable, obtain a reduced rate of withholding.

Sign Here

Signature of beneficial owner (or individual authorized to sign for beneficial owner) Date (MM-DD-YYYY)

Print name of signer Capacity in which acting (if form is not signed by beneficial owner)

Affidavit of Unchanged Status (if applicable)

Under penalties of perjury I declare that I have examined and signed the above Form W-8BEN and that the information and certifications contained therein remained the same and unchanged for the period beginning January 1, 2018 (or later account opening date) to the present, and were true, correct, and complete during that period.

Signature: Signature Capacity:

Please fax all requested paperwork to +852 2374 7828. If mailing is preferred, send original W-8BEN to the address in the upper right hand corner.



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Please log in to your account and ensure all account information is accurate. All prices in USD unless noted otherwise. Investment involves risk. The price of a security may fluctuate, sometimes dramatically, and may move up or down. Consequently, losses may be incurred and a security may become valueless. Past performance is not an indication of future results. All clients must consider all relevant risk factors, their personal financial situation, and objectives before making any investment decisions. Please read these important [Disclosures](#).

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