

In case of any discrepancy between the English and Chinese version of this agreement, the English version shall prevail.

The following terms and conditions apply to the delivery, receipt, and review of your trade and account information delivered to you in electronic format from TD Ameritrade Hong Kong Ltd. ("TD Ameritrade") and your obligation to ensure all of your account information provided to TD Ameritrade is at all times correct and up to date. By your continued use of the TD Ameritrade website, trading platforms, and electronic delivery of confirmations and other account information, you consent to the terms contained herein, and as they may be updated from time to time and posted on our website (www.tdameritrade.com.hk).

You acknowledge TD Ameritrade is required to obtain and record your information to facilitate the opening and ongoing operation and maintenance of your account. Information obtained includes but is not limited to the following:

- a) Full name;
- b) Unique identification number (such as an identity card number, passport, business registration number);
- c) Contact information including existing residential address, registered or business address, email address(es), and telephone number(s);
- d) Date of birth, incorporation, or registration;
- e) Nationality or place of incorporation; and
- f) Identity of all account beneficial owners or if a company, the company's Directors.

You further acknowledge your obligations under the TD Ameritrade Client Agreement to ensure all information provided by you to TD Ameritrade is at all times accurate and up to date, to regularly review all information provided by you to verify its accuracy, and to immediately notify TD Ameritrade in writing of any change to any of the information provided. TD Ameritrade provides notifications to you annually via email and on each account statement reminding you to review all of your account information and you agree upon receipt of these reminders to verify all account information provided by you is accurate and up to date.

You acknowledge and agree that through your continued use of the TD Ameritrade website and trading platforms and unless otherwise notified in writing, all account information provided by you and held by TD Ameritrade is accurate and up to date.

若此協議的英文與中文版本內容有任何差異，以英文版本為準。

以下條款及條件適用於您的交易傳送、接收和檢視，以及以電子型式自德美利證券香港有限公司（簡稱「德美利證券」）傳送給您的帳戶資料，以及為確保您隨時提供德美利證券正確且最新的帳戶資料應負的責任。您繼續使用德美利證券網站、交易平台及傳送的電子交易確認與其他帳戶資料，您同意以下的條款與條件，以及不時於我們網站 (www.tdameritrade.com.hk) 上發布的更新。

您知悉德美利證券需要取得和記錄您的資料，以便開立、持續操作和維持您的帳戶。取得資料包括，但不限於以下各項：

- a) 全名；
- b) 獨有的身分辨識號碼（例如：身分證號碼、護照號碼、商業登記證號碼）；
- c) 聯絡資料，包括目前的住址、註冊或營業地址、電子郵件地址，以及電話號碼）；
- d) 出生日期、公司成立或註冊日期；
- e) 國籍或公司成立所在地；以及
- f) 所有帳戶實益擁有人或公司董事（若為公司）的身分。

您進一步確認在德美利證券客戶協議中，您有責任確保您提供予德美利證券的所有資料皆為正確且最新的，並定期檢視您所提供的全部資料以核對其準確性，及立即以書面通知德美利證券有關您所提供任何資料的任何變動。德美利證券每年透過電子郵件寄發通知給您，並於每一份帳戶報表中，提醒您檢視所有的帳戶資料。您同意收到這類提示後，核對您所提供的所有帳戶資料均為正確且為最新的。

您確認並同意繼續使用經由對德美利證券網站及交易平台，除非另有書面通知，您所提供以及德美利證券所持有的全部帳戶資料，均為正確且最新的。



Consent to Electronically Delivered Official Notices

TD Ameritrade is registered with the Securities and Futures Commission to carry out the regulated activities of dealing in securities and dealing in futures contracts, and providing custodial services for securities under Cap 571H Securities and Futures (Client Securities) Rules. By specifically consenting to electronic delivery you are giving your informed consent to electronic delivery of all Account Notices (defined below). "Account Notices" mean all current and future Account Statements, Trade Confirmations, notices, disclosures, regulatory (binding or official) communications (including prospectuses, proxy solicitations, and privacy notices) and other information, documents, data, and records regarding your TD Ameritrade account. You are also giving your informed consent to receipt of communications by telephone (cellular or otherwise). This consent will be effective immediately and will remain in effect unless and until either you or TD Ameritrade revoke such consent. You understand that it may take up to three (3) business days to process a revocation of consent to electronic delivery, and you may receive electronic notifications in the interim.

It is your obligation to review trade confirmations and account statements promptly upon receipt. These documents will be considered binding on you unless you notify us of any objections within five days from the date confirmations are sent and within 10 days after account statements are sent.

Revocation of Consent

You may revoke your consent to electronic delivery of Account Notices anytime, subject to the terms of this Agreement, by notifying TD Ameritrade in writing of your intention to do so. Such revocation is not effective until received and acknowledged by TD Ameritrade. You have the right to request paper delivery of any Account Notices that the law requires TD Ameritrade to provide to you in paper form. However, you understand that if you revoke or restrict your consent to electronic delivery of Account Notices or request paper delivery, TD Ameritrade, at its discretion, may charge a reasonable service fee for the delivery of Account Notices that would otherwise be delivered electronically, restrict or terminate access to the electronically delivered Account Notice service, or may restrict or close the Account.

Waiver of Mailed Delivery of Notices

By consenting to electronic delivery of binding communications, including confirmations and statements, you agree to the termination of distribution of such notices by Mail. You agree that TD Ameritrade fulfills its legal obligation to deliver to you any such document if sent via electronic delivery.

You must notify us if you are unable receive electronically delivered documents.

Should you experience any difficulty opening a document electronically delivered by us, you will promptly notify us in order to allow us to make the required delivery by other means or otherwise amend delivery. Failure to advise us of such difficulty within forty-eight (48) hours after delivery shall serve as an affirmation that you were able to receive and open said document. In the event that an email notification sent to you is returned to TD Ameritrade as undeliverable, TD Ameritrade will contact you.

同意以電子方式傳送正式通知

德美利證券註冊為證券及期貨事務監理委員會註冊，提供證券交易及期貨合約交易受規管活動，並根據《證券及期貨(客戶證券規則)》(香港法例第 571H 章)，為證券提供託管服務。透過您對電子傳送方式的具體同意，代表您知悉並同意以電子方式傳送的所有帳戶通知(定義如下)。「帳戶通知」代表目前及未來的所有帳戶報表、交易確認通知、披露、監管(具有約束力或官方的)通訊(包括招股章程、委任招攬和私隱聲明)，以及其他有關您德美利證券帳戶的資料、文件、數據和紀錄。您同時知悉並同意接收電話通訊(手機或其他方式)。這份同意書立即生效，並將保持有效，除非您或德美利證券撤銷這份同意書。您了解並同意電子傳送方式的撤銷程序，可能需要 3 個工作天。在此期間，您可能還是會收到電子通知。

您有責任在收到交易確認及對帳單後，立即進行檢視。除非您在交易確認寄出後的 5 天內，以及對帳單寄出後的 10 天內，通知我們任何異議，否則這些文件將視為對您具有約束力。

撤銷同意

根據此項協議所訂定的相關條款，您可以隨時以書面通知德美利證券，撤銷電子傳送帳戶通知的同意書。此撤銷要求在德美利證券收到並確認後始生效。您有權利以法律規定要求德美利證券以書面形式向您提供帳戶通知，並以書面的方式寄送。但是，您了解一旦撤銷或限制對電子傳送帳戶通知的同意，或提出書面寄送的請求，德美利證券可能會就您以電子方式傳送之帳戶通知的書面寄送，酌情收取合理的服務費、限制或終止電子傳送帳戶通知服務的使用，或可能會限制或關閉帳戶。

放棄郵寄通知

一經同意電子傳送有約束力的通知，包括交易確認與報表，您同意德美利證券經電子傳送所有類似文件，以履行其法律責任。您同意德美利證券履行其法定義務，以電子郵寄的方式傳送所有類似的文件。

若您無法收到電子傳送的文件，請務必通知我們。

若您在開啟以電子方式傳送的文件時遭遇任何困難，請立即通知我們，以便透過其他方式完成所需的傳送，或修正傳送。若您未能在傳送後的 48 小時內，通知我們有關困難，我們將視您已收到並開啟上述文件。在傳送給您的電子郵件通知被退回德美利證券，而視為無法送達的情況下，德美利證券將與您聯絡。

You may terminate the option to receive electronic confirmation statements and/or electronic delivery of prospectus/proxy materials at any time by contacting us or by updating your account preferences within the website. Notwithstanding the process above, TD Ameritrade at its discretion may terminate electronic delivery at any time and resume delivery of mailed Account Notices.

You agree to promptly notify us of any change in the email address(es) provided for delivery of electronic confirmation and account statements. There may be charges associated with accessing an Internet service provider who provides email service, and you agree to promptly notify us if your access to email communication and the ability to receive these electronic documents from TD Ameritrade is terminated for any reason. You understand that there are minimum computer hardware and software requirements necessary for you to receive and view your documents online, and you agree to maintain those requirements or notify us promptly of your inability to view the documents.

透過與我們聯絡，或在網站內更新您的帳戶喜好設定，您可以隨時終止收到電子確認報表及/或電子傳送的招股章程/代理人資料的選項。儘管有上述流程，德美利證券仍可能隨時自行決定終止電子傳送，並恢復以郵寄的方式寄送帳戶通知。

傳送電子確認及帳戶報表的電子郵件地址若有任何變更，您同意即時通知我們。提供電子郵件服務的網路服務提供者可能會酌情收取使用費。若您因為任何原因而終止電子郵件通訊的使用，並終止接收來自德美利證券相關電子文件的功能，您同意即時通知我們。您了解在網上接收和查看文件須符合的電腦硬件和軟件的最低要求，並同意維持相關要求，或在無法查看文件時，立即通知我們。

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Please log in to your account and ensure all account information is accurate. All prices in USD unless noted otherwise. Investment involves risk. The price of a security may fluctuate, sometimes dramatically, and may move up or down. Consequently, losses may be incurred and a security may become valueless. Past performance is not an indication of future results. All clients must consider all relevant risk factors, their personal financial situation, and objectives before making any investment decisions. Please read these important [Disclosures](#).

TD Ameritrade Hong Kong Ltd. is registered with the Securities and Futures Commission (CE Number BJO462) to carry out the regulated activities of dealing in securities and dealing in futures contracts and does not provide tax, legal, or investment advice or recommendations. Regulated products are provided in Hong Kong by TD Ameritrade Hong Kong Ltd. and nothing in the published material is an offer or solicitation to conduct business in any other jurisdiction.

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請登入您的帳戶，並確認所有的帳戶資料均為正確。除非另有說明，所有價款均以美元計價。投資涉及風險。證券價格可能會非常波動，也可升可跌。因此，投資證券可能造成虧損，甚至變成毫無價值。過去的業績表現，並不能預示未來的結果。所有客戶在作出任何投資決定之前，均需考慮所有相關的風險因素，其個人的財務狀況及投資目標。請閱讀以下重要的[披露信息](#)。

德美利證券香港有限公司為證券及期貨事務監察委員會註冊(中央編號 BJO462)，提供證券交易及期貨合約交易受規管活動，並不負責提供稅務、法律或投資建議。受規管的產品由德美利證券香港有限公司負責在香港提供，而出版資料的任何內容不可在任何其他管轄區為了進行業務，用作要約或招攬的用途。

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