

Account Number of Authorised Agent: 授權代理人帳號: _____

Authorised Agent: 授權代理人: _____

Note: Form must be completed in English. Forms completed in any other language will not be accepted. In case of any discrepancy between the English and Chinese version of this form, the English version shall prevail.

TD Ameritrade Hong Kong Ltd. ("TD Ameritrade") may allow our client(s) to appoint other individuals to place trades into the client's account(s). Any person or persons you appoint will be required to register with TD Ameritrade.

The undersigned authorises the Authorised Agent named above to be the true and lawful attorney and agent of the undersigned and to act for and on behalf of the undersigned, with full and complete power and authority (as if such Attorney is the sole holder of the Account for whom the Account is opened) to, for, and on behalf of, and in the name, and as the act of, the undersigned, and without notice to the undersigned, do and perform all or any of the following acts and things: to buy, sell (including short sales), and trade in any and all securities and futures products of any kind, including without limitation stocks and/or puts, calls, options, or other contracts relating to the same on margin or otherwise, via the electronic trading and other services or facilities which TD Ameritrade may make available to you from time to time (the "Electronic Trading Services"). Limited Trading Authorisation does not provide the Authorised Agent with the authority to instruct TD Ameritrade to make any change(s) to the Account Owner(s) Account information, such as address of record, nor the authority to make any request or instruction with regard to the delivery or transfer of any of the securities or monies held in the Account by the Account Owner(s).

By signing this agreement, you hereby authorise TD Ameritrade to link your account number to the access number and password issued by TD Ameritrade to your Authorised Agent (such access number and password, together with your account number, the "Security IDs") and to provide access to the Electronic Trading Services to the Authorised Agent through the use of the Security IDs. Further, you agree that access to and use of Electronic Trading Services, where the access or use is referable to such Security IDs, shall be deemed to be accessed and used by you, subject to the TD Ameritrade Customer Agreement for Trading Accounts for U.S. Securities, and you agree to be fully bound by such access and use.

By signing this agreement, you hereby agree to hold TD Ameritrade and its affiliates and appointed agents harmless against any and all trading losses and agree to promptly pay any deficit balances which may arise from any trading activity your appointee may make. TD Ameritrade and its affiliates or agents may accept and rely upon any instructions received by your appointee. TD Ameritrade strongly urges you to avoid appointing any person or persons that you do not personally know and trust to handle your finances.

請注意: 表格須以英文填寫。請恕無法接受以任何其他語言填寫的表格。若此表格的英文與中文版本內容有任何差異，以英文版本為準。

德美利證券香港有限公司 (簡稱「德美利證券」) 可能允許我們的客戶委任其他個人進行帳戶交易。任何您委任的人士將被要求於德美利證券。

下列簽署人授權上述授權代理人為下列簽署人的真實和合法的代理人，並代表下列簽署人以完全和完整的權力和權限行事 (如同該代理人為該開立帳戶的唯一持有人)，並代表和以其名義，作為下列簽署人的行動，且在不另行通知下列簽署人的情況下，進行以下所有或任何行動與事項：透過德美利證券可能不時向您提供的電子交易和其他服務或設施 (「電子交易服務」)、買賣 (包括賣空) 和交易任何類型的任何及所有證券和期貨產品，包括但不限於相同保證金或其他方式的股票及/或認沽、認購、期權或其他合約。有限的交易授權無法提供授權代理人指示德美利證券對帳戶持有人帳戶資料，例如地址記錄、進行任何變更的權力、以及向帳戶持有人帳戶中的任何證券或款項，提出交付或轉帳之任何相關請求或指示的權力。

透過簽署本協議，您特此授權德美利證券將您的帳號與德美利證券發給授權代理人的存取號碼和密碼相連結 (此類存取號碼和密碼連同您的帳號，統稱「安全 ID」)，並透過安全 ID 的使用，向授權代理人提供電子交易服務。此外，您同意由此類安全 ID 所引起的電子交易服務的存取和使用，在受制於就美國證券交易帳戶而簽訂之德美利證券客戶協議的情況下，應被視為為您本人存取和使用，並且同意完全受此類存取和使用的約束。

透過簽署本協議，您特此同意承擔德美利證券及其聯屬公司和指定代理人的任何和所有交易損失，並同意及時支付任何可能由委託人所進行之任何交易活動產生的結欠。德美利證券及其聯屬公司或代理人可接受並依賴從您的受託人收到的任何指示。德美利證券強烈要求您避免委任您不認識和不信任的任何人士處理您的財政。



You agree and acknowledge TD Ameritrade has no obligation whatsoever at any time to (a) ascertain or inquire into the purpose for which any of the Authorised Agent's powers are exercised, or (b) supervise or monitor any transactions or other acts of the Authorised Agent, regardless of their frequency, size, or type, and regardless of whether they include unconventional, risky, or speculative investments. You further agree and acknowledge any individual(s) who provide investment advice to others may be subject to regulation and licensing requirements by the Securities & Futures Commission of Hong Kong.

You hereby undertake to ratify all that the Authorised Agent shall do or purport to do by virtue of this agreement and the validity and effectiveness of all documents completed, executed, or delivered pursuant to this agreement. This agreement shall be binding upon the undersigned and the successors and assigns and the personal representatives and executors of the undersigned.

Provide a brief explanation of your relationship to the Authorised Agent and why you are providing them with Limited Trading Authorisation:

您同意並確認德美利證券在任何時候對以下各項均無須承擔任何責任：(a) 確定或查詢任何授權代理人行使權力的目的，或 (b) 監督或監察授權代理人的任何交易或其他行動，不論其頻率、數量或類型為何，以及是否包括異常、具風險或投機性投資。您進一步同意並確認，向其他人提供投資建議的任何個人可能受香港證券及期貨事務監察委員會的監管及持牌規定約束。

您特此承諾，追認授權代理人根據本協議應做或打算做的一切，以及根據本協議完成、執行或交付之所有文件的合法性和有效性。本協議對下列簽署人及其繼承人、受讓人、個人代表及遺囑執行人具有約束力。

請簡要說明您與授權代理人之間的關係，以及您為何向其提供有限交易授權：

Account Owner(s) Information 帳戶持有人資料

Account Number:

帳號：

Account Owner Name:

帳戶持有人姓名：

Signature:

簽名：

Date:

日期：

Joint Owner Name:

共同持有人姓名：

Signature:

簽名：

Date:

日期：

Entity Account Owners (Corporation, Partnership, Trust, Estate) 實體帳戶持有人 (公司、合夥人、信託、遺產)

Entity Account Number:

實體帳號：

Name of Entity:

實體名稱：

Authorised Officer's Name:

授權主管姓名：

Authorised Officer:

授權主管：

Date:

日期：

Individual to Whom Discretion is Given 受委託的個人

Name:

姓名：

Signature:

簽名：

ID Type:

ID 類型：

ID#:

ID 編號：

Issued By:

簽發機構：

Issue Date:

簽發日期：

Expiration Date (if applicable):

到期日 (如適用)：

Occupation:

職業：

SFC or HKMA Licence? 是否持有證監會或金管局牌照? Yes 是 No 否

If yes, please provide your CE number or registration number: 如有，請提供中央編號或登記號碼：

Are you compensated in any way, monetary or otherwise, for trading and/or taking any action in this account? If yes, indicate how compensation is provided.
您是否以任何方式、金錢或其他方式，就此帳戶所進行的交易及/或任何行動獲得補償？如有，請說明提供補償的方式。

Approved By (Responsible Officer): 批准人 (負責人員):

Name:
姓名:

Signature:
簽名:

Date:
日期:

This agreement shall be considered as remaining in full force and effect until such time as it is revoked in writing and such revocation is received by TD Ameritrade or until TD Ameritrade has received actual notice of the death, incapacity, or bankruptcy of the undersigned. This agreement shall continue in full force and effect for a period of 12 months ("the first 12 months period") only, effective from the date of this agreement, unless the same is revoked by the you, upon your receipt from you of a 5 business days' advance written notice of revocation. All acts done by the Authorised Agent pursuant to this agreement, prior to the earlier of (i) the expiry of the first 12 months period; or (ii) the actual receipt by TD Ameritrade of your written notice of revocation, shall be valid. This agreement shall be deemed to have been renewed for a further 12 months period if TD Ameritrade has given you a written notification before the expiry of the first 12 months period that this agreement would be automatically renewed, unless you object to such automatic renewal, upon which you would notify TD Ameritrade specifically in writing. Without prejudice to the foregoing, if you execute a new agreement without specifying whether it replaces or is in addition to this agreement, this agreement shall remain in force. You agree to indemnify and hold TD Ameritrade harmless from and against any and all losses, cost, claims, liabilities, expenses, or damages resulting from TD Ameritrade acting on this agreement until notice of revocation is received by TD Ameritrade. Furthermore, Account Owner(s) and Authorised Agent(s) acknowledge that TD Ameritrade may, in their sole discretion, refuse to approve appointment of any Authorised Agent(s) to any Account.

This agreement shall be governed by the laws of Hong Kong. Each party submits to the non-exclusive jurisdiction of Hong Kong courts.

If any provision of this authorisation or indemnity shall be rendered invalid for any reason, the provisions of this agreement shall be deemed modified or superseded as the case may be, and these provisions shall in all respects continue and be in full force and effect.

本協議應視為有效，直至您以書面形式撤銷且德美利證券收到該撤銷為止，或直到德美利證券收到下列簽署人的死亡、失能或破產的實際通知為止。除非您提前五個工作天以書面通知要求撤銷本協議，否則本協議在簽署日起計 12 個月內有效(首 12 個月)。所有以本協議所授權的行動在 (i) 首 12 個月，或 (ii) 在德美利證券收到您的書面撤銷通知，兩者取時間較早者為之有效。德美利證券可在首 12 個月屆滿前，以書面通知您本協議自動續期 12 個月。除非德美利證券收到您以書面通知反對延續本協議，否則本協議將會按照相同條款及細則自動續期 12 個月。在不影響上述條件的前提下，如果您在未明確說明是否取代或附加本份協議的情況下執行新的協議，則本份協議維持有效。您同意賠償和承擔德美利證券因執行本協議造成的任何和所有損失、成本、索賠、責任、費用或損害，直至德美利證券收到撤銷通知。此外，帳戶持有人和授權代理人亦了解德美利證券可能會自行決定拒絕批准任何帳戶之任何授權代理人的任命。

本協議受香港法律管轄。各方均遵從香港法院的非專屬管轄權。

如此份授權書或賠償的任何條款因任何原因失效，本協議的條款應視為已被修改或取代 (視情況而定)，及這些條款在所有方面都將繼續有效。

For Official Use Only:

Appointee's User Name:

Confirmation Email to Client Date:

Confirmation Email to Appointee Date:

TD Ameritrade Hong Kong Ltd.
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Hong Kong

德美利證券香港有限公司
中央編號 BJO462
香港金鐘道 88 號
太古廣場二座 1211-13 室

Please log in to your account and ensure all account information is accurate. All prices in USD unless noted otherwise. Investment involves risk. The price of a security may fluctuate, sometimes dramatically, and may move up or down. Consequently, losses may be incurred and a security may become valueless. Past performance is not an indication of future results. All clients must consider all relevant risk factors, their personal financial situation, and objectives before making any investment decisions. Please read these important [Disclosures](#).

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