

Account Number: 帳號: \_\_\_\_\_

*Form must be completed in English. Forms completed in any other language will not be accepted. In case of any discrepancy between the English and Chinese version of this disclosure, the English version shall prevail.*

*This disclosure does not, and is not meant to, specify all of the risks and other significant aspects of trading in Futures utilising Intraday Margin. In light of the risks, you should undertake such transactions only if you understand the nature of the contracts (and contractual relationships) into which you are entering and the extent of your exposure to risk. Trading in Futures is not suitable for every investor. You should carefully consider whether trading is appropriate for you in light of your experience, objectives, financial resources, and other relevant circumstances.*

In Futures trading, the margin to buy or sell a contract and/or hold a contract from one trading session to and/or through another is commonly referred to as Initial or Overnight Margin. This margin requirement is set by the exchange on which the contract trades but may be raised at any time by TD Ameritrade Futures & Forex LLC ("TD Ameritrade Futures & Forex") and/or TD Ameritrade Hong Kong Ltd. ("TD Ameritrade") at our discretion. Intraday Margin refers to the amount of margin required to open and close a position within the same trading session and is generally a reduced holding requirement as compared to the Initial or Overnight Margin. The reduced requirement of Intraday Margin can be used to increase leverage. This increased leverage can increase the risk associated with the trades. The reduced margin may be useful to short-term traders ("day traders") seeking to generate returns by employing a strategy of entering and exiting the Futures market rapidly with increased leverage.

Intraday Margin applies between the hours of 8:30 a.m. CT and 3 p.m. CT in the United States and is subject to change without notice. At any other time of day, the account shall typically reflect the TD Ameritrade Futures & Forex Initial/Overnight Margin requirements.

Trading Futures utilising increased leverage can be extremely risky. You acknowledge that you are prepared to lose all funds employed for this strategy and acknowledge that such trading could result in losses beyond your initial investment. You are responsible for any resulting debit balance in your account.

TD Ameritrade Futures & Forex and TD Ameritrade reserve the right to withdraw Intraday Margin privilege on any Futures contract or account at any time and without notice.

Your Margin account must maintain a minimum Net Liquidation value of US\$15,000 to be eligible for Intraday Margin. If, at any time, your account falls below this threshold, your account will automatically revert to the TD Ameritrade Futures & Forex Margin requirement for the particular Futures contract held. TD Ameritrade Futures & Forex and TD Ameritrade reserve the right to change this minimum threshold at any time and without notice.

The Intraday Margin rate granted to customers is typically 25% of the TD Ameritrade Futures & Forex margin requirement for that particular Futures contract. TD Ameritrade Futures & Forex and TD Ameritrade reserve the right to modify the Intraday Margin rate at any time and without notice.

表格須以英文填寫。請恕無法接受以任何其他語言填寫的表格。若此份披露聲明的英文與中文版本內容有任何差異，以英文版本為準。

本披露聲明無法詳細說明利用即日保證金進行期貨交易的所有風險和其他重要部分。按照相關風險，您只應在了解將參與的合約性質（與合約關係），以及您願意承受的風險程度後，才進行這類交易。期貨交易不一定適合每一位投資者。您應該根據您的經驗、目標、財務資源和其他相關條件，仔細考慮這類交易是否適合您。

在期貨交易中，買賣合約及/或從一個交易時段到另一個交易時段持有合約所需的保證金，通常稱為初始或隔夜保證金。這項保證金規定，由進行合約交易的交易所制定，但TD Ameritrade Futures & Forex LLC ("TD Ameritrade Futures & Forex")及/或德美利證券香港有限公司(簡稱「德美利證券」)可隨時自行決定提高保證金金額。即日保證金，是指在同一交易時段內，開倉和平倉所需的保證金金額。與初始或隔夜保證金比較，通常為減少持有要求。減少即日保證金的規定可用來增加槓桿作用。這項增加槓桿的作用，可提高交易的相關風險。透過採用增加槓桿作用快速進出期貨市場的策略所減少的保證金可能對尋求收益的短期交易員(簡稱「即日買賣者」)而言，相當有幫助。

即日保證金適用於美國東部標準時間早上 8:30 至東部標準時間下午 3 點之間。如有變更，恕不另行通知。在一天內的任何其他時間，帳戶通常應反映 TD Ameritrade Futures & Forex 的初始/隔夜保證金規定。

利用增加槓桿作用交易期貨，可能會承受極大風險。您了解您已預期損失所有用於這項策略的資金。同時，您了解這類交易所造成的虧損，可能會超越您的初始投資。您必須對您帳戶內所有的結欠負責。

TD Ameritrade Futures & Forex 及德美利證券保留無須事先通知即可隨時撤回期貨合約或帳戶的即日保證金的權利。

為符合即日保證金資格，您的保證金帳戶必須維持的最低淨值為 15,000 美元。若您的帳戶在任何時間低於這個門檻，您的帳戶將自動恢復為符合 TD Ameritrade Futures & Forex 特定期貨合約的保證金規定。TD Ameritrade Futures & Forex 及德美利證券保留無須事先通知，隨時變更最低門檻的權利。

為客戶提供的即日保證金率，通常為TD Ameritrade Futures & Forex 針對特定期貨合約所制定保證金規定的 25%。Futures & Forex 德美利證券保留無須事先通知，隨時修改即日保證金率的權利。



Intraday Margin does not apply to Options on Futures. If you hold or place an order for Options on Futures, the system will automatically remove Intraday Margin for the entirety of the account. Any new position opened while the account holds the Options on Futures position(s) will not be opened with Intraday Margin and will revert to the TD Ameritrade Futures & Forex Initial/Overnight requirement.

You acknowledge that if you utilise Intraday Margin at 3 p.m. CT in the United States, the account immediately becomes subject to the particular Futures contract Overnight Margin policies of TD Ameritrade Futures & Forex. If your account falls below this margin requirement at 3 p.m. CT in the United States, TD Ameritrade Futures & Forex and/or TD Ameritrade, or our agent(s) may, but is not obligated to, begin a close out of your position(s). You hereby agree to accept full responsibility for any losses incurred as a result.

If you choose to hold any position established with the use of Intraday Margin through the daily close, you must notify our margins department. Sufficient funds must be sent and received via wire transfer **prior to 2:30 p.m. CT in the United States** of the same trading session date.\* You agree that recurring margin call issues while using Intraday Margin may lead to the immediate revocation of these privileges. You may not be able to meet a margin call if your bank is closed during United States market hours.

即日保證金不適用於期貨期權。若您持有或設定期貨期權交易指令，系統將自動移除整個帳戶的即日保證金。當帳戶有期貨期權持倉時，任何新開的持倉都不會利用即日保證金開倉，並將恢復為 TD Ameritrade Futures & Forex 的初始/隔夜要求。

您了解若在美國中部時間下午 3 點使用即日保證金，帳戶將即時受到 TD Ameritrade Futures & Forex 特定期貨合約隔夜保證金政策的約束。若您的帳戶在美國中部時間下午 3 點低於這項保證金規定，TD Ameritrade Futures & Forex 及/或德美利證券或我們的代理可能 (但無義務) 開始為您平倉。您特此同意對此產生的任何損失承擔全部責任。

若您選擇透過每日平倉，利用即日保證金持倉，請通知我們的保證金部門。請於同一交易日內的美國東部標準時間下午 2:30 之前，透過電匯方式，發送足夠的資金，並確認被收妥。\* 您同意在使用即日保證金時，經常性的追加保證金通知，可能會導致相關特權被即時撤銷。若您的銀行在美國市場交易時間並未營業，您可能無法追加保證金。

Account Number: 帳號：	Account Title (printed): 帳戶名稱 (正楷)：	Date: 日期：
<input checked="" type="checkbox"/> Account Owner or Authorised Agent Signature: 帳戶持有人或授權代理簽名：		Date: 日期：
<input checked="" type="checkbox"/> Joint Account Owner Signature: 聯名帳戶持有人簽名：		Date: 日期：

**Original signatures required; electronic signatures and/or signature fonts are not acceptable.**

\* **Note: Markets conditions could dictate a forcible sellout of your positions, with or without prior notice, regardless of your intent to cover a call.**

Futures accounts are not protected by the Securities Investor Protection Corporation (SIPC).

**必須為原始簽名。恕不接受電子簽名及/或簽名字體。**

\***請注意：無論您是否打算備兌認購期權，市場行情可在有或無事先通知的情況下，決定強制出售您的持倉。**

期貨帳戶不受證券投資者保護公司 (SIPC) 的保障。

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Please log in to your account and ensure all account information is accurate. All prices in USD unless noted otherwise. Investment involves risk. The price of a security may fluctuate, sometimes dramatically, and may move up or down. Consequently, losses may be incurred and a security may become valueless. Past performance is not an indication of future results. All clients must consider all relevant risk factors, their personal financial situation, and objectives before making any investment decisions. Please read these important [Disclosures](#).

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