

Account Number: 帳號 : _____

In case of any discrepancy between the English and Chinese version of this addendum, the English version shall prevail.

To proceed with opening your account, we need to collect some documentation from you. Please take a moment to review the checklist below. Original documents can be mailed or brought to the office at **TD Ameritrade Hong Kong Ltd. Suite 1211-13, Two Pacific Place 88 Queensway, Hong Kong**. Where original documents are not required, you may fax these to +852 2374 7828.

若此份附件的英文與中文版本內容有任何差異，以英文版本為準。

若您需要開戶，我們需要向您收集一些文件。請詳閱下方的核對清單。請將文件正本郵寄或送至**德美利證券香港有限公司，地址為香港金鐘道 88 號太古廣場二座 1211-13 室**。若無須提供正本的文件，您可以傳真至 +852 2374 7828。

Signature Card 簽名卡

- Original document signed, dated, and certified* **OR** 已簽署、註明日期，經驗證的正本文件 * **或**
- Original, signed, dated, and sent along with a cheque from HK bank for at least HK\$10,000 (or US\$1,300). If a joint account, we will need a cheque from each account holder (each for HK\$10,000/US\$1,300) or cheque from joint bank account (HK\$10,000/US\$1,300).
已簽署的正本並註明日期後，連同香港銀行開立金額至少 10,000 港元 (或 1,300 美元) 的支票一併寄出。若為聯名帳戶，則需提供每位帳戶持有人 (每張面額各為 10,000 港元 / 1,300 美元) 或聯名銀行帳戶支票 (10,000 港元 / 1,300 美元)。

Tax Form 報稅表格

- W-8BEN for non-US tax payers, original not needed. Document must be completed in English.
W-8BEN 適用於非美籍納稅人，無須正本。表格須以英文填寫。
 - Part I 第一部分**
 - Line 1: Legal name as reflected on the account 第一行：帳戶使用的法律名稱
 - Line 2: Country of Citizenship 第二行：國籍
 - Line 3: Your permanent residence address in the country where you claim to be a resident for tax purposes. PO Boxes are not accepted.
第三行：供稅務使用的居住國永久居留地址。不接受郵政信箱。
 - Line 4: If mailing address is different than the permanent address, enter here. PO Boxes are not accepted.
第四行：若郵寄地址與永久地址不同，請於此輸入。不接受郵政信箱。
 - Line 5: Only complete if you have applied for and been issued a U.S. tax number
第五行：僅供申請並獲簽發美國稅籍編號填寫
 - Line 6: Enter your taxpayer number issued by the country of your residence. If you do not have a tax ID, you will need to submit the TIN Declaration Form providing the reason you do not have this.
第六行：請輸入居住國家核發的納稅人編號。若您沒有稅號，則須提交 TIN 聲明書說明原因。
 - Line 8: Date of Birth (mm/dd/yyyy) 第八行：出生日期 (月 / 日 / 年)
 - Part II 第二部分**
 - Line 9 and 10 are optional. If you are a tax resident of a country that has a tax treaty with the United States, you can complete line 9 and write this country in the blank to receive a lower withholding rate on dividends and interest paid in your account.
第九行與第十行為選填。若您為與美國有稅務協定國家的納稅居民，您可於第九行的空格中填寫此國家，支付至您帳戶的股息或利息即可享有較低的預扣稅率。
 - Part III: Sign and date the form (mm/dd/yyyy) 第三部分：請在表格上簽名及註明日期 (月 / 日 / 年)**

OR 或

- W-9 for US tax payers, original not needed. Document must be completed in English.**
W-9 適用於美籍納稅人，無須正本。表格須以英文填寫。



Identity Verification 身分驗證

- Certified* photocopy of documents (original certification must be received, identifications can be photocopies)
驗證 * 文件影印本 (須提交正本, 身分證明可為影印本)

OR 或

- Copy of documents with a cheque from HK bank for at least HK\$10,000 (or US\$1,300). If a joint account, we will need a cheque from each account holder (each for HK\$10,000/US\$1,300) or cheque from joint bank account (HK\$10,000/US\$1,300).
文件副本連同香港銀行開立金額至少 10,000 港元 (或 1,300 美元) 的支票。若為聯名帳戶, 則需提供每位帳戶持有人 (每張面額各為 10,000 港元 /1,300 美元) 或聯名銀行帳戶支票 (10,000 港元 /1,300 美元)。

Photo ID 附有照片的身分證明文件

- Hong Kong Resident 香港居民

If you are bringing the documents to the Hong Kong office, please provide: 若您要親送文件至香港辦公室, 請提供:	If you are mailing the documents, please provide: 若您要郵寄文件, 請提供:
HK Citizen (any ONE of following): 香港居民 (請提供下列任何 一項 文件): <input type="checkbox"/> Hong Kong Permanent Identity Card (with 3 stars) 香港永久居民身分證 (三粒星) <input type="checkbox"/> Hong Kong Passport 香港護照 Non-HK Citizen: 非香港居民: <input type="checkbox"/> Hong Kong Identity Card (w/o 3 stars) and Non-Hong Kong Passport 香港身分證 (無三粒星) 及 非香港護照	HK Citizen (any TWO of following): 香港居民 (請提供下列任何 兩項 文件): <input type="checkbox"/> Hong Kong Permanent Identity Card (with 3 stars) 香港永久居民身分證 (三粒星) <input type="checkbox"/> Hong Kong Passport 香港護照 <input type="checkbox"/> Mainland Travel Permit for Hong Kong and Macau Residents 港澳居民來往內地通行證 Non-HK Citizen: 非香港居民: <input type="checkbox"/> Hong Kong Identity Card (w/o 3 stars) and Non-Hong Kong Passport 香港身分證 (無三粒星) 及 非香港護照 AND ONE of the following: 及下列任何一項文件: <input type="checkbox"/> National Identity Card 國民身分證 <input type="checkbox"/> Driving License 駕駛執照

- China Resident 中國居民

If you are bringing the documents to the Hong Kong office, please provide: 若您要親送文件至香港辦公室, 請提供:	If you are mailing the documents, please provide: 若您要郵寄文件, 請提供:
Chinese Citizen (any ONE of following): 中國國民 (請提供下列任何 一項 文件): <input type="checkbox"/> Chinese Passport 中國護照 <input type="checkbox"/> Chinese Identity Card and Hong Kong/Macau Travelling Permit 中國身分證 及 港澳通行證 Non-Chinese Citizen: 非中國國民: <input type="checkbox"/> Non-Chinese Passport and Chinese government issued identification (<i>example, Visa, Work Permit, etc.</i>) 非中國護照 及 中國政府簽發的識別證件 (如簽證、工作證 ... 等)	Chinese Citizen (any TWO of following): 中國國民 (請提供下列任何 兩項 文件): <input type="checkbox"/> Chinese Passport 中國護照 <input type="checkbox"/> Chinese Identity Card and Hong Kong/Macau Travelling Permit (<i>if applicable</i>) 中國身分證 及 港澳通行證 (如適用) <input type="checkbox"/> Driving License 駕駛執照 Non-Chinese Citizen: 非中國國民: <input type="checkbox"/> Non-Chinese Passport and Chinese government issued identification (<i>example, Visa, Work Permit, etc.</i>) 非中國護照 及 中國政府簽發的識別證件 (如簽證、工作證 ... 等) AND ONE of the following: 以及下列任何一項文件: <input type="checkbox"/> National Identity Card 國民身分證 <input type="checkbox"/> Driving License 駕駛執照

Taiwan Resident 台灣居民

<p>If you are bringing the documents to the Hong Kong office, please provide: 若您要親送文件至香港辦公室，請提供：</p>	<p>If you are mailing the documents, please provide : 若您要郵寄文件，請提供：</p>
<p>Taiwanese Citizen: 台灣居民： <input type="checkbox"/> Taiwanese Passport 台灣護照</p> <p>Non-Taiwanese Citizen: 非台灣居民： <input type="checkbox"/> Non-Taiwanese Passport and Taiwanese government issued identification (<i>example, Visa, Work Permit, etc.</i>) 非台灣護照及台灣政府簽發的識別證件 (如簽證、工作證 ... 等)</p>	<p>Taiwanese Citizen: 台灣居民： <input type="checkbox"/> Taiwanese Passport 台灣護照 AND ONE of the following: 以及下列任何一項文件： <input type="checkbox"/> Taiwanese National Identity Card 台灣居民身分證 <input type="checkbox"/> Mainland Travel Permit for Taiwan Residents 台灣居民來往內地通行證 <input type="checkbox"/> Driving License 駕駛執照</p> <p>Non-Taiwanese Citizen: 非台灣居民： <input type="checkbox"/> Non-Taiwanese Passport and Taiwanese government issued identification (<i>example, Visa, Work Permit, etc.</i>) 非台灣護照及台灣政府簽發的識別證件 (如簽證、工作證 ... 等) AND ONE of the following: 以及下列任何一項文件： <input type="checkbox"/> National Identity Card 國民身分證 <input type="checkbox"/> Driving License 駕駛執照</p>

Residential Address Verification originals not required. Please submit one of the following.
住址驗證無須正本。請提供下列任何一項文件。

Hong Kong Resident 香港居民

- Phone or Utility Bill issued within the last 3 months 最近三個月內發出的電話或水電帳單
- Bank Statement, a statement issued by an SFC broker or an authorized insurer issued within the last 3 months 最近三個月內發出的銀行結單、證監會經紀或授權保險公司結單
- Correspondence from a Government department or agency issued within the last 3 months (*example, Tax return, rates bills, demand note, police issued ticket, etc.*) 最近三個月內與政府部門或機構往來的信件 (如稅單、差餉單、繳費單、警察發出的罰單 ... 等)
- Copy of mobile phone or pay TV statement issued within the last 3 months 最近三個月內發出的手機或收費電視帳單實質副本
- Hong Kong tenancy agreement which has been duly stamped by the Inland Revenue Department 經稅務局正式蓋章的香港租賃協議

China or Taiwan Resident 中國或台灣居民

- Phone or Utility Bill issued within the last 3 months 最近三個月內發出的電話或水電帳單
- Bank Statement issued by an authorized institution or an authorized insurer issued within the last 3 months 最近三個月內授權機構所發出之銀行結單或授權保險公司發出的結單
- Copy of mobile phone or pay TV statement issued within the last 3 months 最近三個月內發出的手機或收費電視帳單實質副本

* Certified by an SFC licensed person, a branch manager of a bank, justice of peace, certified public accountant, lawyer, or notary public. **Please ensure the certifying individual provides their certification directly on the photocopy of any IDs you provide (in addition to the certifying of the Signature card).**

* 經證監會持牌人、銀行分行經理、太平紳士、註冊會計師、律師或公證人驗證。驗證人員請務必須於您所提供的身份證明影印本進行驗證 (連同簽名卡一同驗證)。

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Hong Kong

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中央編號 BJO462
香港金鐘道 88 號
太古廣場二座 1211-13 室

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